

NORTH YORKSHIRE COUNTY COUNCIL

AUDIT COMMITTEE

3 March 2016

PROGRESS ON ISSUES RAISED BY THE COMMITTEE

**Joint Report of the Corporate Director – Strategic Resources
and the Assistant Chief Executive (Legal and Democratic Services)**

1.0 PURPOSE OF THE REPORT

1.1 To advise Members of

- (i) progress on issues which the Committee has raised at previous meetings
- (ii) other matters that have arisen since the last meeting and that relate to the work of the Committee

2.0 BACKGROUND

2.1 This report is submitted to each meeting listing the Committee's previous Resolutions and / or when it requested further information be submitted to future meetings. The table below represents the list of issues which were identified at previous Audit Committee meetings and which have not yet been resolved. The table also indicates where the issues are regarded as completed and will therefore not be carried forward to this agenda item at the next Audit Committee meeting.

Date	Minute number and subject	Audit Committee Resolution	Comment	Complete?
05/12/13	45 – Information Governance	That an update version of the Information Governance Policy Map be circulated to Committee Members.	The updated suite of information governance policies was approved by Management Board on 15 December 2015. Further details provided in report on this agenda.	✓
23/09/15	146 – Internal Audit Work and related Internal Control Matters for the Health and Adult Services Directorate.	That the Corporate Director – Strategic Directors discuss the timing of the next governance review of the Health and Wellbeing Board and Integrated Commissioning Board with the Assistant Director – Strategic Resources and the Head of Internal Audit.	Awaiting latest set of guidelines for Better Care Fund and on-going discussions with Health. Optimum timing will then be determined.	X

Date	Minute number and subject	Audit Committee Resolution	Comment	Complete?
03/12/15	157 – Audit Terms of Reference	That it be noted that the Corporate Director – Strategic Resources shall circulate a KPMG briefing paper to Committee Members	Paper circulated	✓
03/12/15	158 – Progress on 2015/16 Internal Audit Plan	That an update on Information Security Compliance standards in the South Block of County Hall and at Manor Road in Knaresborough be included in the report “Progress on Issues Raised by the Committee” to the Committee’s next meeting.	The findings were agreed by management. Remedial actions including improved key security, reminders issued to staff about the need to maintain data security, replacement lockable cupboards and internal security checks have been instigated. Specific issues have been discussed with the staff concerned. Further compliance audits will be undertaken in both areas during 2016/17 to check that improvements have been made.	✓
03/12/15	160 – Programme of Work	A briefing on counter fraud arrangements be included for the March 2016 meeting	Training session on counter fraud has been arranged prior to this meeting	✓
03/12/15	160 – Programme of Work	A seminar to held, to commence at midday on the day of the Committee’s meeting in March 2016, to provide a briefing on Procurement and VfM	This seminar will still take place in June	X
03/12/15	160 – Programme of Work	The Annual External Audit Plan be rescheduled from July to March 2016 and the reference to 2013/14 be deleted	This has been rescheduled and included on this agenda	✓
03/12/15	160 – Programme of Work	The Programme be amended to reflect that the date of the Committee’s meeting in September 2016 is on the 29 th .	The Programme has been amended accordingly	✓

3.0 TREASURY MANAGEMENT

3.1 Current Treasury Management developments include

- (i) Capita Asset Services – Treasury Management provided an updated interest rate forecast on 12 February 2016. Capita have revised their first forecast increase in bank rate from 0.5% to 0.75% to early 2017 with further increases of 0.25% to reach 1.75% by March 2019.

- (ii) The Executive approved the Annual Treasury Management and Investment Strategy for 2016/17 at its meeting on 16 February 2016. This is scheduled elsewhere on the agenda for more detailed discussion.

4.0 RECOMMENDATION

- 4.1 That the Committee considers whether any further follow-up action is required on any of the matters referred to in this report.

GARY FIELDING
Corporate Director – Strategic Resources

BARRY KHAN
Assistant Chief Executive
(Legal and Democratic Services)

County Hall
NORTHALLERTON

3 March 2016

Background Documents: Report to, and Minutes of, Audit Committee meeting held on 3 December 2015